


FORM PTO-1390 (REV 11-98)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 3687-2
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 09/445,218
INTERNATIONAL APPLICATION NO. PCT/EP98/03496	INTERNATIONAL FILING DATE 4 June 1998	PRIORITY DATE CLAIMED 5 June 1997
TITLE OF INVENTION DIPHENYL-TRIAZOLE DERIVATIVES AND THEIR USE AS ANTI-GESTATIVE, IMMUNO-SUPPRESSANT AND ANTI-TUMORAL AGENTS		
APPLICANT(S) FOR DO/EO/US ROSSI, Carla		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - ☐ has been transmitted by the International Bureau.
 - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - ☐ have been transmitted by the International Bureau.
 - ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
 - ☐ have not been made and will not be made.
- ☐ A translation of the amendments to the claims under PCT Article 19 (U.S.C. 371(c)(3)).
- ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. To 16. Below concern document(s) or information included:

- ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☐ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
- ☐ A substitute specification.
- ☐ A change of power of attorney and/or address letter.
- ☒ Other items or information. Verified statement (Declaration Claiming Small Entity)

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 09/445,218		INTERNATIONAL APPLICATION NO. PCT/EP98/03496		ATTORNEY'S DOCKET NUMBER 3687-2																															
17. <input checked="" type="checkbox"/> The following fees are submitted:					CALCULATIONS PTO USE ONLY																														
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5): -- Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$970.00 -- International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$840.00 -- International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$760.00 -- International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$670.00 -- International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)\$96.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>																																			
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).																																			
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:15%;">CLAIMS</th> <th style="width:15%;">NUMBER FILED</th> <th style="width:15%;">NUMBER EXTRA</th> <th style="width:15%;">RATE</th> <th style="width:15%;"></th> <th style="width:15%;"></th> </tr> <tr> <td>Total Claims</td> <td>26</td> <td>-20 =</td> <td>6</td> <td>X</td> <td>\$18.00</td> </tr> <tr> <td>Independent Claims</td> <td>1</td> <td>-3 =</td> <td>0</td> <td>X</td> <td>\$78.00</td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIMS(S) (if applicable)</td> <td></td> <td>+\$260.00</td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td> <td></td> <td>\$</td> </tr> </table>						CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total Claims	26	-20 =	6	X	\$18.00	Independent Claims	1	-3 =	0	X	\$78.00	MULTIPLE DEPENDENT CLAIMS(S) (if applicable)					+\$260.00	TOTAL OF ABOVE CALCULATIONS =					\$
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																																
Total Claims	26	-20 =	6	X	\$18.00																														
Independent Claims	1	-3 =	0	X	\$78.00																														
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)					+\$260.00																														
TOTAL OF ABOVE CALCULATIONS =					\$																														
Reduction by 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).																																			
SUBTOTAL =					\$																														
Processing fee of \$130.00, for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					+																														
TOTAL NATIONAL FEE =					\$																														
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					+																														
Fee for Petition to Revive Unintentionally Abandoned Application (\$1,210 - Small Entity Fee = \$605)					+																														
TOTAL FEES ENCLOSED =					\$ 40.00																														
					Amount to be:																														
					refunded \$																														
					charged \$																														

a. ☒ A check in the amount of \$40.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 14-1140 in the amount of \$_____ to cover the above fees. A duplicate copy of this form is enclosed.

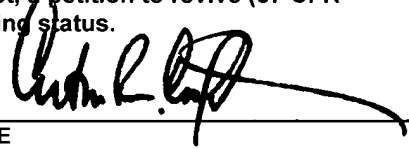
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed.

d. ☐ The entire content of the foreign application(s), referred to in this application is/are hereby incorporated by reference in this application.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

NIXON & VANDERHYE P.C.
1100 North Glebe Road, 8th Floor
Arlington, Virginia 22201
Telephone: (703) 816-4000



SIGNATURE

Arthur R. Crawford
NAME

25,327 January 28, 2000
REGISTRATION NUMBER Date

PCT \$

520 Rec'd PCT/PTO 28 JAN 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

ROSSI, Carla

Serial No. 09/445,218

Filed: December 6, 1999



Atty. Ref.: 3687-2

Group:

Examiner:

For: DIPHENYL-TRIAZOLE DERIVATIVES AND THEIR
USE AS ANTI-GESTATIVE, IMMUNO-
SUPPRESSANT AND ANTI-TUMORAL AGENTS

* * * * *

January 28, 2000

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

SMALL ENTITY CLAIM AND REFUND REQUEST

Please accept the Small Entity Verified Statement attached hereto and
refund one-half of the fee paid on December 6, 2000 in the above-identified case
by crediting \$539.00 to our account no. 14-1140 under Order No.
3687-2.

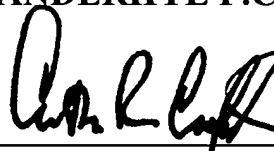
When this is approved, please return a copy of this request marked
"approved" directly to the undersigned for which purposes this request is
submitted in triplicate.

ROSSI, Carla
Serial No. 09/445,218

Respectfully submitted,

NIXON & VANDERHYE P.C.

By: _____



Arthur R. Crawford

Reg. No. 25,327

ARC:pfc
1100 North Glebe Road, 8th Floor
Arlington, VA 22201-4714
Telephone: (703) 816-4000
Facsimile: (703) 816-4100